# 금 Base Markets

# **COOKIE POLICY**

# **Base Markets**

An Investment Dealer (Full-Service Dealer Excluding Underwriting) and Global Business Company Licensed by the Financial Services Commission

License No: GB25204723 Company No: 223521 /GBC Date: October 2025

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Base Markets (the "Company") is incorporated in Mauritius on the 4th of July 2025 as a private company limited by shares. The Company is regulated by the Financial Services Commission (FSC) of Mauritius under the Financial Services Act 2007 and is licensed as an Investment Dealer (Full Service, excluding Underwriting) with the License Number GB25204723 and a Global Business Licensee, with the Company Number 223521.

The Company has its principal place of effective management in Mauritius, which proposes to conduct its business principally outside Mauritius. The registered office address of the Company is at C/O Credentia International Management Ltd, The Cyberati Lounge, Ground Floor, The Catalyst, Silicon Avenue, 40 Cybercity, 72201 Ebène, Republic of Mauritius.

The Company shall perform such activities and duties as are customarily authorised and performed by the holder of an Investment Dealer (Full-Service Dealer excluding Underwriting) Licence under the Securities Act 2005, in particular, carrying out the following activities:

- Act or hold itself as an intermediary in the execution of securities transactions for clients;
- Trade or hold itself to trade in securities as principal with the intention of reselling these securities to the public;
- Distribute or hold itself out to distribute securities on behalf of an issuer or holder of securities;
- Solicit any investor (person or institutional or body corporate) to enter into securities transactions;
- Give investment advice which is ancillary to the normal course its business activities;
- Manage portfolios of clients.

This Cookie Policy explains how Base Markets uses cookies and similar technologies on its websites and online platforms. It describes what cookies are, why they are used, and how users can manage or control them.

The Company is committed to protecting the privacy of its clients and website visitors. Cookies are used only in accordance with this Policy, the Company's Privacy Policy, and applicable data protection laws, including the Mauritius Data Protection Act 2017.

By continuing to browse or use the Company's website, users agree to the use of cookies as described in this Policy. Users may manage or withdraw their consent at any time, as outlined below.

#### 2. What Are Cookies

Cookies are small text files that are stored on a user's device (computer, tablet, or mobile phone) when they visit a website. They are widely used to make websites function properly, to improve user experience, and to provide website owners with information about how their site is being used.

Cookies typically contain information such as the name of the website, the duration for which the cookie will remain on the device, and a unique identifier.

In addition to cookies, the Company may also use similar tracking technologies such as web beacons, pixels, and local storage to deliver services and enhance functionality.

## 3. Why We Use Cookies

The Company uses cookies for the following purposes:



- Essential Functionality To ensure the website and client portal operate correctly, including secure login, navigation, and core features. Without these cookies, the site cannot function properly.
- Performance and Analytics To collect information on how visitors use the website, such as
  pages visited, time spent on the site, and error messages. This helps the Company improve the
  performance, design, and functionality of its services.
- Personalisation To remember user preferences and settings (such as language choice, region, or login details), providing a smoother and more tailored experience.
- Security and Fraud Prevention To enhance security, protect accounts, and prevent fraudulent activity.
- Marketing and Advertising To deliver relevant content and advertisements, measure the
  effectiveness of campaigns, and understand how users interact with marketing material. These
  cookies are only used where the user has given consent.

The Company does not use cookies to store sensitive personal information such as passwords or payment details.

#### 4. Types of Cookies and Tracking Technologies

The Company uses the following categories of cookies:

- Session Cookies Temporary cookies that are erased when you close your browser. These allow us to maintain your session while navigating between pages.
- **Persistent Cookies** Remain on your device for a defined period or until deleted. These help us remember your preferences and improve future visits.
- **First-Party Cookies** Set directly by the Company to provide core website functionality, security, and performance measurement.
- Third-Party Cookies Set by trusted service providers (such as analytics or advertising partners) to support functions like performance monitoring, targeted advertising, or integration with social media.
- **Essential Cookies** Necessary for the operation of the website and cannot be disabled. They include features such as login authentication, account security, and fraud prevention.
- **Performance and Analytics Cookies** Collect anonymised information on how visitors use the site, enabling us to improve functionality and user experience.
- **Functionality Cookies** Allow the site to remember choices you make (such as language or region) to provide enhanced and more personalised services.
- Advertising and Targeting Cookies Used with consent to deliver advertisements that are relevant to you, limit the number of times you see an ad, and measure campaign effectiveness.

All cookies are used in compliance with applicable data protection and privacy laws, and none are used to store sensitive personal data such as passwords or payment details.

#### 5. Managing and Controlling Cookies

Most web browsers automatically accept cookies, but you have full control over whether and how cookies are stored on your device. You may choose to:

- Accept all cookies Allowing the website to function with full features.
- Block all cookies Preventing any cookies from being stored on your device.



- Set browser preferences Configure your browser to notify you before a cookie is stored, or to allow only certain types of cookies.
- Delete cookies Remove cookies stored on your device at any time through your browser settings.

If you disable or block cookies, some parts of the Company's website may not function properly, and certain features such as login, personalisation, or trading functionality may be unavailable or limited. Instructions for managing cookies can usually be found in the "Help" or "Settings" section of your browser. For more information, you may also refer to:

- Google Chrome
- Mozilla Firefox
- Microsoft Edge
- Safari

In addition, you may manage cookie preferences related to targeted advertising by visiting industry opt-out platforms such as:

- Your Online Choices
- Network Advertising Initiative

Please note that disabling cookies will not remove all online tracking technologies, and settings must be applied across all browsers and devices you use to access the Company's services.

## 6. Third-Party Cookies

The Company's website may use cookies and similar technologies provided by trusted third parties to help us deliver services, improve performance, and enhance user experience. These third-party cookies are subject to the privacy and cookie policies of the respective providers.

#### Examples of third-party cookies include:

- **Google Analytics** Used to analyse website traffic, understand how visitors use our website, and improve services. Data collected is aggregated and anonymised, but may include information such as IP addresses, device type, and browsing behaviour.
- Advertising networks (e.g., Google Ads, Meta/Facebook Pixel) Used to deliver personalised
  advertisements and measure the effectiveness of marketing campaigns. These cookies may track
  your browsing across different websites to provide more relevant advertising.
- **Live chat, support, and CRM integrations** Certain tools may use cookies to enable real-time communication, save conversation history, and improve customer support services.

#### Important notes:

- The Company does not control how third parties use their cookies once they are enabled.
- Users are encouraged to review the privacy and cookie policies of these third parties for more details.
- Disabling third-party cookies may affect the availability or performance of certain features, including analytics, live chat, or tailored advertising.

#### 7. Cookie Retention Periods



The Company may update this Cookie Policy from time to time to reflect changes in technology, legal or regulatory requirements, or improvements in our services. The most current version will always be available on the Company's website.

Where changes are material, the Company will take reasonable steps to inform users in advance, for example through website notifications or direct communication where appropriate. Continued use of the website after such updates will constitute acceptance of the revised Cookie Policy.

#### **Contact Information**

If you have any questions or concerns about this Cookie Policy or the Company's use of cookies, you may contact us at:

Compliance Officer / Data Protection Officer

Email: <a href="mailto:compliance@basemarkets.com">compliance@basemarkets.com</a>

Telephone: +230 467 2000

Address: C/O Credentia International Management Ltd, The Cyberati Lounge, Ground Floor, The

Catalyst, Silicon Avenue, 40 Cybercity, 72201 Ebène, Republic of Mauritius.